Best Available Copy



WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

H04L 1/00

(11) International Publication Number: WO 98/39871

A2

(43) International Publication Date: 11 September 1998 (11.09.98)

(21) International Application Number: PCT/US98/04251

(22) International Filing Date: 4 March 1998 (04.03.98)

(30) Priority Data:

60/039,420 6 March 1997 (06.03.97) US 09/033,770 3 March 1998 (03.03.98) US

(71) Applicant: LEVEL ONE COMMUNICATIONS, INC. [US/US]; 9750 Goethe Road, Sacramento, CA 95827 (US).

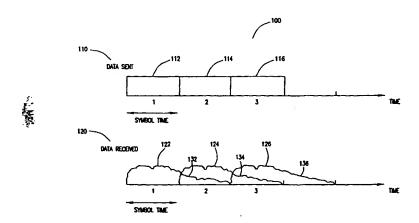
(72) Inventors: LING, Stanley, K.; 1040 Fulton Avenue #6, Sacramento, CA 95825 (US). AN, Ping; 7932 La Riviera Drive #197, Sacramento, CA 95826 (US). TAKATORI, Hiroshi; 932 South Beach Drive, Sacramento, CA 95831 (US).

(74) Agent: SHARP, Janice, A.; Merchant, Gould, Smith, Edell, Welter & Schmidt, Suite 400, 11150 Santa Monica Boulevard, Los Angeles, CA 90025 (US). (81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

Without international search report and to be republished upon receipt of that report.

(54) Title: ADAPTIVE EQUALIZERS AND METHODS FOR CARRYING OUT EQUALIZATION WITH A PRECODED TRANSMITTER



(57) Abstract

Adaptive equalization methods used with precoded systems dominated by inter-symbol interference (ISI) is disclosed. The adaptive equalizers monitor the output of a DFE and compare it to a reference for updating a pre-coder in response to the comparison. To accomplish this, the adaptive equalizer includes a feed forward equalizer receiving a signal from a communication channel, the feed forward equalizer equalizing variations in pre-cursor intersymbol interference resulting from changes in characteristics of the channel and providing an output signal to an error correction decoder, a decision circuit, coupled to the feed forward equalizer, for generating error vectors in response to the output signal of the feed forward equalizer and a decision feedback equalizer, coupled to the decision circuit, the decision feedback equalizer monitoring the pre-cursor intersymbol interference of the channel, determining when the transmitter coefficients to the pre-coder warrant updating, and generating a signal indicating that an update to the transmitter coefficients to the pre-coder is warranted. The adaptive equalizer further includes a comparison circuit, the comparison circuit receiving an output from the decision feedback equalizer and comparing the output from the decision feedback equalizer to a reference, the comparison circuit generating the signal indicating that an update to the transmitter coefficients to the pre-coder is warranted in response to the comparison.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ΑT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
۸Z	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
3B	Barbados ·	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
3F	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
3G	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
ij	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
3R	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of Americ
CA	Canada	IT .	· Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Кепуа	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
Cl	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
U	Cuba	ΚZ	Kazakstan	RO	Romania		
Z	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
E	Germany	LI	Liechtenstein	SD	Sudan		
K	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 98/39871 PCT/US98/04251

ADAPTIVE EQUALIZERS AND METHODS FOR CARRYING OUT EQUALIZATION WITH A PRECODED TRANSMITTER

BACKGROUND OF THE INVENTION

Field of the Invention.

This invention relates in general to an adaptive equalization methods, and more particularly to adaptive equalization methods used with precoded systems dominated by inter-symbol interference (ISI).

5 Description of Related Art.

10

15

20

25

30

Improvements in high-speed computers, networking equipment, and fiber optic technologies are bring down the cost of building telecommunications facilities. While this trend began in the 1960s, more recent developments in wireless communications and high-speed digital services have broadened the capabilities of existing pipelines and created an appetite for lots of data. In fact, the appetite for data has outpaced the ability of existing networks to deliver it. One problem encountered by networks is intersymbol interference (ISI).

()

In intersymbol interference, the energy intended in one symbol spills over to the adjacent symbols. Fig. 1 illustrates intersymbol interference between received symbols 100. Fig. 1 illustrates 110 data sent from a transmitting device coupled to a non-ideal channel. The data 110 is divided into a plurality of symbols 112, 114, 116 dispersed over time in a manner so that adjacent symbols do not interfere with each other. Also illustrated in Fig. 1 is the data being received 120. The received data 120 is characterized by symbols 122, 124, 126 that have nonzero values 132, 134, 136 at an adjacent symbols sampling points.

To compensate for the amplitude and phase distortions introduced by the channel, equalizers are used. An equalizer is a discrete time filter for compensating these amplitude and phase distortions. A channel is a time-varying channel with a typically long time constant compared to the symbol period. The channel may be viewed as quasi-static, with a constant impulse response for the duration of a packet. The equalizer has to be adaptive to compensate continuously for nonidealities of the channel. A data packet may include a time-synchronization sequence, known as a training sequence, to derive the transfer characteristic of the channel at the time of transmission, and the data. The receiver then uses a signal processing algorithm to correct errors that occur in subsequent information bits.

10

15

20

25

Fig. 2 illustrates a typical digital communications system 200 in the presence of ISI. Fig. 2 illustrates a transition scheme for using a two-dimensional modulation. However, those skilled in the art will recognize that the invention and examples described herein are applicable to other types of modulation. Thus, Fig. 2 could be classified as a QAM, or a CAP system, with an in-phase and a quadrature components. Fig. 2 shows the in-phase and quadrature components in the transmitter at location A, where the upper path is the I channel 202 or in-phase and the bottom path is the Q channel 204 or quadrature phase.

Now, similarly in the receiver, in this particular drawing, at the summing node 210 to the right of the analog-to-digital converter (ADC) 212, there is an upper path 220 and a lower path 222. Again, the upper path 220 may be used to decode the in-phase component, and the lower path 222 may be used to equalize the quadrature component. So in each particular path, the signal first passes through the feed forward equalization block 230 of the DFE, and then to a direct DFE feedback loop 232. The DFE feedback loop includes a cross-coupled feedback loop 234. The direct feedback loop 232 will be from the I decision to the I summing node and the Q decision to the Q summing note. And then the cross-coupled components 234 will be from the I decision to the Q summing note, and from the Q decision to the I summing note.

In Fig. 2, the purpose of the feed forward equalizer (FFE) 230 is to cancel the maximum-phase response of the channel, which results in pre-cursor ISI. The purpose of the decision feedback equalizers (DFE) 232, 234 is to cancel the minimum-phase response of the channel, which results in post-cursor ISI. The equivalent channel response from point-A to point-B will be strictly minimum-phase and can be described as:

$$Y_I = X_I * H_{II} + X_Q * H_{QI}$$

 $Y_Q = X_Q * H_{QQ} + X_I * H_{IQ},$

where the term X is used to denote the z-transform response $X = X(z) = x_0 + x_1 z^{-1} + x_2 z^{-2} + ...$, and the symbol * denotes the z-domain multiplication (time-domain convolution). H_{II} refers to the channel response of the I channel input to the I channel output. H_{IQ} refers to the channel response of the I channel input to the Q channel output. H_{QQ} refers to the channel response of the Q channel input to the Q channel output. H_{QI} refers to the channel response of the Q channel input to the I channel output.

10

15

20

25

30

35

The cross-coupled DFE 234, with coefficients $l-H_{II}$, $-H_{IQ}$, $l-H_{QQ}$, and $-H_{QI}$, is able to equalize the equivalent channel. Using adaptive algorithms driven to the correct solution by the decision error vector (i.e. RLS or LMS adaptation), the DFE can adapt to a correct solution from start-up, and also continuously adapt to equalize any changes in the channel response over time.

As shown in Fig. 2, no error correction coding is used. Therefore, precoding is not necessitated. Thus, the SNR seen at the decision node 240 would be quite high and the error rate would be very low. Now if there are any changes to the channel 250 over time, which would occur for changes in temperature or humidity, the feed forward equalizer 230 and the decision feedback equalizers 232, 234 would be able to handle any changes in the channel, since no equalization is performed in the transmitter other than transmit shaping.

However, the DFE is not the optimum solution if error control coding is utilized in the system, since error propagation in the feedback path and the requirement of accurate, immediate decisions for ISI subtraction both induce performance degradation when high gain error control is necessary.

To eliminate these problems, the minimum-phase response may be equalized by using transmitter-based equalizers, such as pre-emphasis, Tomlinson/Harashima precoder, and Laroia 151 precoder. The minimum-phase response equalization performed at the transmitter must first be trained at the receiver using an adaptive DFE to determine the filter coefficients 1-H_{II}, -H_{IQ}, 1-H_{QQ}, and -/ H_{QI} and then transmitted to the receiver during some initialization start-up procedure.

Fig. 3 illustrates a typical precoded digital communications system 300. On the transmit side 310, data is passed through a convolutional encoder 320 and bits are mapped to symbols 322. In Fig. 3, Tomlinson/Harashima precoding 324 is illustrated prior to the digital-to-analog converter 326. However any method of precoding may be used to illustrate the invention. The signal is then transmitted over the channel 328.

In Fig. 3, the DFE section is moved from the receiver into the transmitter. This is facilitated by the fact that low error rate decisions coming out of the error decision, which feed back into the ISI cancellation, are not needed. Instead, a completely ISI-free estimate could be produced and fed into an error correction decoder. Further, due to one incorrect decision causing multiple decisions immediately thereafter, completely freedom from any error propagation can be provided.

10

15

20

25

30

35

Turning to Fig. 3, again I 330, 340 and Q 332, 342 channels are shown. A standard Tomlinson precoder 324 is in the transmitter portion. Again, the DFE is cross-coupled 350. With regard to the receiver 312, the signal from the channel passes to analog-to-digital converter 360, which is split that into I 340 and Q 342 channels. Next, the same FFE 362 as described with reference to Fig. 2 receives the output of the summing node 364.

If after the FFE 362, there is no ISI left, i.e., the channel has not changed at all, then there is no need to have any type of a remaining DFE to cancel any post cursor ISI that may still exist. All that is needed is to take that output from the FFE 362 and send it into the decoder 366, and at the same time take the output of the FFE 362 and slice that to an extended decision 370, using an extended slicer, which is used with the Tomlinson precoder. That error 372 is used to update the FFE 362 and the echo cancellers 374.

However, if the channel does indeed change, and the output of the FFE 362 does contain some post-cursor ISI, the post-cursor ISI must be cancelled. This post-cursor ISI is cancelled through the use of the DFE 380 in conjunction with the Tomlinson precoder 324 in Fig. 3.

In summary, under perfect conditions where the channel 328 doesn't change, there is no need for the DFE 380 in conjunction with the Tomlinson precoder 324. Only the Tomlinson precoder 324 at the transmitter 310, and at the receiver 312 just the FFE 362 are needed, since the output of the FFE should be ISI free. However, once the channel 328 changes, the coefficients at the transmitter are locked in and thus can't be changed. So if the channel 328 changes, resulting ISI will occur which need to be cancelled. The DFE structure 380 in the receiver 312 allows the cancellation of this resulting ISI.

As suggested above, over time, the channel response may change due to temperature and humidity variation. For a 9kft, 26 AWO copper pair, termed Carrier Serving Area (CSA) Loop #6 from the T1E1.4 set of test loops, an isolated received pulse 400 for three temperatures 410, 412, 414 is shown in Fig. 4. For this case, there is approximately 10 dB of difference between the cold 410 and the hot 414 pulse amplitudes. Differences in the ISI vs. the main tap, which would yield different tap values, also exist. Any change in the maximum-phase response may be dealt with in the FFE by continuous adaptation based on the decision error vector. The minimum-phase response can not be directly and continuously adapted, since the fixed coefficients are now used in the transmitter, where the decision error vector is not available.

10

15

20

25

30

35

It can be seen that there is a need for an adaptive equalizer for adapting a precoder by generating updated coefficients for the precoder.

It can also be seen that there is a need for an adaptive equalizer that monitors the output of a DFE and compares it to a reference for updating a precoder in response to the comparison.

SUMMARY OF THE INVENTION

To overcome the limitations in the prior art described above, and to overcome other limitations that will become apparent upon reading and understanding the present specification, the present invention discloses an adaptive equalization methods used with precoded systems dominated by inter-symbol interference (ISI).

The present invention solves the above-described problems by providing a an adaptive equalizer that monitors the output of a DFE and compares it to a reference for updating a precoder in response to the comparison.

An adaptive equalizer in a communication system having a precoder using transmitter coefficients for pre-equalizing the channel for post-cursor intersymbol interference according to the invention includes a feed forward equalizer receiving a signal from a communication channel, the feed forward equalizer equalizing variations in pre-cursor intersymbol interference resulting from changes in characteristics of the channel and providing an output signal to an error correction decoder, a decision circuit, coupled to the feed forward equalizer, for generating error vectors in response to the output signal of the feed forward equalizer and a decision feedback equalizer, coupled to the decision circuit, the decision feedback equalizer monitoring the pre-cursor intersymbol interference of the channel, determining when the transmitter coefficients to the precoder warrant updating, and generating a signal indicating that an update to the transmitter coefficients to the precoder is warranted.

Other embodiments of a system in accordance with the principles of the invention may include alternative or optional additional aspects. One such aspect of the present invention is that the adaptive equalizer further includes a comparison circuit, the comparison circuit receiving an output form the decision feedback equalizer and comparing the output from the decision feedback equalizer to a reference, the comparison circuit generating the signal indicating that an update to the transmitter coefficients to the precoder is warrantted in response to the comparison.

Another aspect of the present invention is that the adaptive equalizer further includes a comparison circuit, the comparison circuit receiving an output form the decision feedback equalizer and comparing the output from the decision feedback

10

15

20

25

30

35

equalizer to a reference, the comparison circuit generating the signal indicating that an update to the transmitter coefficients to the precoder is warranted in response to the comparison.

Another aspect of the present invention is that the output of the decision feedback equalizer is combined with the output of the feed forward equalizer at a summing node.

Another aspect of the present invention is that the signal to the error correction decoder is provided after the summing node.

Yet another aspect of the present invention is that the adaptive equalizer further includes an extended decision circuit coupled to the output of the feed forward equalizer, the extended decision circuit providing coefficients to the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.

Another aspect of the present invention is that the signal to the error correction decoder is provided before the summing node.

Another aspect of the present invention is that the adaptive equalizer further includes an extended decision circuit coupled to the output of the feed forward equalizer, the extended decision circuit providing coefficients to the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.

These and various other advantages and features of novelty which characterize the invention are pointed out with particularity in the claims annexed hereto and form a part hereof. However, for a better understanding of the invention, its advantages, and the objects obtained by its use, reference should be made to the drawings which form a further part hereof, and to accompanying descriptive matter, in which there are illustrated and described specific examples of an apparatus in accordance with the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

Referring now to the drawings in which like reference numbers represent corresponding parts throughout:

- Fig. 1 illustrates intersymbol interference between received symbols;
- Fig. 2 illustrates a typical digital communications system in the presence of ISI;
 - Fig. 3 illustrates a typical precoded digital communications system;
 - Fig. 4 illustrates an isolated received pulse for three temperatures;

10

15

20

25

30

35

Fig. 5 illustrates a block diagram a standard adaptation scheme for equalization of a channel response that allows an FFE to adapt to equalize any variation in both the pre-cursor and the post-cursor ISI;

Fig. 6 illustrates a block diagram an adaptation scheme for equalization of a channel response that allows an FFE to adapt to equalize any variation in the precursor ISI;

wherein a signal to the decoder is tapped before the summing node before the decision circuit;

Fig. 7 illustrates a block diagram an adaptation scheme for equalization of a channel response that allows an FFE to adapt to equalize any variation in the precursor ISI;

wherein a signal to the decoder is tapped after the summing node before the decision circuit;

Fig. 8 illustrates a block diagram an adaptation scheme for equalization of a channel response that allows an FFE to adapt to equalize any variation in both the precursor and the post-cursor ISI wherein the signal to the decoder tapped before the summing node before the decision circuit; and

Fig. 9 illustrates a block diagram an adaptation scheme for equalization of a channel response that allows an FFE to adapt to equalize any variation in both the pre-cursor and the post-cursor ISI wherein the signal to the decoder tapped after the summing node before the decision circuit.

DETAILED DESCRIPTION OF THE INVENTION

In the following description of the exemplary embodiment, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration the specific embodiment in which the invention may be practiced. It is to be understood that other embodiments may be utilized as structural changes may be made without departing from the scope of the present invention.

The present invention provides methods of equalizer adaptation for a digital communications system. In particular, the methods are to be used with precoded Systems (i.e. pre-emphasis, Tomlinson/Harashima precoding, Laroia ISI precoding, etc.) in systems dominated by inter-symbol interference (ISI.)

As shown above in Fig. 1, the channel response changes over time resulting in intersymbol interference 132, 134, 136. The changes in the channel response may be due to temperature and humidity variations. As shown in Fig. 2, the equivalent channel response from point-A to point-B changes to $H'_{II} = H_{II} + \Delta H_{II}$, H'_{IQ}

10

15

20

25

30

35

= $H_{1Q} + \Delta H_{1Q}$, $H'_{QQ} = H_{QQ} + \Delta H_{QQ}$, and $H'_{Q1} = H_{Q1} + \Delta_{Q1}$, where the addition is performed on an element by element basis. If the new channel response H' is considered as two cross-coupled channels in series, with one cross-coupled channel equivalent to the original channel response, H, then the new channel response can be considered as $H' = H \otimes H''$, where H'' can be determined through adaptation. Each of the individual channel responses can be determined to be:

$$H'_{II} = H_{II} \otimes H''_{II} + H_{IQ} \otimes H''_{QI}$$
 $H'_{IQ} = H_{IQ} \otimes H''_{QQ} + H_{II} \otimes H''_{IQ}$
 $H'_{QQ} = H_{QQ} \otimes H''_{QQ} + H_{QI} \otimes H''_{IQ}$
 $H'_{QI} = H_{QI} \otimes H''_{II} + H_{QQ} \otimes H''_{QI}$

·

where symbol \otimes denotes the z-domain convolution.

Two straightforward methods exist for updating the transmit precoder coefficients:

- Disable the precoder and re-train the DFE to the new channel response H', then re-send the coefficients to the transmitter where they are used in place of the original coefficients based on H; and
- 2) Monitor the residual post-cursor ISI in the receiver with the DFE and adapt to the channel response H' while the transmit precoder is still in use, then re-send the new coefficients to the transmitter and calculate the desired channel response H' based on H and H".

However, the first method is invasive and would required re-training whenever the channel response varied. The second response is not as invasive but requires the new coefficients to be sent to the transmitter in a specified interval.

Figs. 5-9 illustrate block diagrams of five adaptation schemes to consider for equalization of the channel response due to changes over time. Assume once start-up training is complete, the FFEs will perform as a noise whitening matched-filter, equalizing only the maximum-phase response of the channel response. The DFEs will equalize only the minimum-phase response of the channel response. A Tomlinson/Harashima precoding equalizer is used, with the adapted DFE coefficients to pre-equalize the transmit signal.

Figs. 5-9 show the different ways data could be sent to the error correction coder and how a signal can be generated to inform the pre-coder of the need to update. Since, every once in a while, when the error is too large, maybe because the characteristics of the cable have drastically changed, the DFE coefficients

15

20

25 '

30

35

to the transmitter will need to be altered. However, the preference will most likely be for the Tomlinson to eliminate all errors. However, if the error is too large, then the coefficients are mismatched in the Tomlinson and the DFE is trying to do too much.

More specifically, Fig. 5 illustrates a block diagram a standard adaptation scheme 500 for equalization of a channel response that allows an FFE 510 to adapt to equalize any variation in both the pre-cursor and the post-cursor ISI. This is accomplished by training the FFE 510 with the decision error vector 512 at the output of the FFE 510. The output of the FFE 510 is connected to a soft-decision error correction decoder520. The FFE 510 will not be guaranteed to be a noise-whitening matched filter, and the noise power may be enhanced due to the new frequency response of the FFE 510. Thus, in Fig. 5, a DFE is not used at all, but rather the FFE 510 is left to handle everything.

Fig. 6 illustrates a block diagram an adaptation scheme 600 for equalization of a channel response that allows an FFE 610 to adapt to equalize any variation in the pre-cursor ISI. The DFE 630 is allowed to adapt to equalize any variation in the post-cursor ISI. This is accomplished by training both the FFE 610 and the DFE 630 with the decision error vector 612 at the output of the DFE summing node 632.

The FFE 610 will remain as a noise-whitening matched filter, and no noise enhancement due to the FFE 610 will occur. If the SNR at the input of the decision device 640 is sufficiently low, the symbol error rate will be high. Under this condition, any decision errors injected into the feedback loop, will cause correlated noise at the summing node of the DFE630. For low SNR, the output of the FFE 610 is connected to the soft-decision error correction decoder 620 to avoid the colored noise of the DFE 630. By monitoring the contribution of the DFE 630 and comparing the absolute value of the DFE contribution 642 with a reference value 644, it can be determined when the channel response has varied sufficiently to cause a loss in performance. When it has been determined that the performance degradation warrants an update of the transmitter coefficients, then the precoder is updated 650 with DFE coefficients that are transferred to the precoder, where the above convolution will yield the updated coefficient values.

Fig. 7 illustrates a block diagram an adaptation scheme for equalization 700 of a channel response which is similar to the one described above with reference to Fig. 6. If the SNR at the input of the decision device 740 is sufficiently high, the symbol error rate will be low. If the symbol error rate is low, and the residual post-cursor ISI is small, any colored noise injected by the DFE 730 will be small. Under

10

15

20

25

30

35

this condition, the output of the DFE summing node 732 is connected to the soft-decision error correction decoder 720. This allows any residual post-cursor ISI to be cancelled correctly when the SNR is high. The residual post-cursor ISI 742 is also monitored to determine when a transmitter coefficient update 750 should occur.

Fig. 8 illustrates a block diagram an adaptation scheme for equalization 800 of a channel response that allows an FFE 810 to adapt to equalize any variation in both the pre-cursor and the post-cursor ISI. The DFE 830 is allowed to adapt to equalize any residual post-cursor ISI which can not be equalized by the FFE 810. This is accomplished by training the FFE 810 with the decision error vector 862 at the output of the FFE 810, and training the DFE 830 with the decision error vector 812 at the output of the DFE summing node 832. In this configuration, the FFE 810, with a small increase in the number of taps from the original noise-whitening matched filter, can cancel a portion of the residual post-cursor ISI, which alone may yield acceptable performance without updating the transmitter coefficients. If the FFE 810 alone can not cancel an acceptable amount of post-cursor ISI, the DFE 830 will adapt to a solution to cancel it. When the amount of ISI at the DFE 830 reaches a certain value, transmitter coefficient update will occur. As with the adaptation scheme of Fig. 6, the output of the FFE 810 is connected to the soft-decision error correction decoder 820.

Fig. 9 illustrates a block diagram an adaptation scheme 900 for equalization of a channel response that is similar to the one described above with reference to Fig. 8. However, as in Fig. 7, the output of the DFE summing node 932 is connected to the soft-decision error correction decoder 920.

In the adaptation schemes for equalization 500, 600, 800 in Figs. 5, 6 and 8, the signal directly from the FFEs 510, 610, 810 is sent to the decoder 520, 620, 820 However, in Figs. 7 and 9, the signal from the node at the input of the slicer 740, 940 is sent to the decoder 720, 920, rather than the output of the FFE 710, 910 directly. Since the Tomlinson precoder has already been used, any resulting post-cursor ISI will be very small and therefore will not affect the corrections.

The adaptation scheme for equalization 600 of Fig. 6 would be used if large changes in the post-cursor ISI are expected. The adaptation scheme for equalization 800 of Fig. 8 would be used if those changes are very small. The difference between the adaptation scheme for equalization 600, 700 of Figs. 6 and 7 and the adaptation scheme for equalization 800, 900 of Figs. 8 and 9 is in the method of adapting the FFEs and the DFEs. If it is desirable for the FFEs to handle as much ISI as possible, then the adaptation scheme for equalization 800, 900 of Figs. 8 and 9

WO 98/39871

5

10

15

20

are preferable because the FFEs 810, 910 are adapting using their own error 862, 962 and the DFEs 830, 930 are just going to handle whatever is left.

However, in the adaptation scheme for equalization 800, 900 of Figs. 8 and 9, the FFEs 810, 910 and the DFEs 830, 930 aren't working together, but is rather an intermediate solution. Essentially, the FFEs 810, 910 are allowed to handle as much ISI as possible and the DFEs 830, 930 is relegated the rest of the responsibility. Still, the adaptation scheme for equalization 800, 900 of Figs. 8 and 9 may be preferable is if a limit in re-transmission between both sides is desired. In contrast, with reference to the adaptation scheme for equalization 600, 700 of Figs. 6 and 7, the FFEs 610, 710 and the DFEs 630, 730 are working together, i.e., joint optimization.

In summary, methods of equalizer adaptation for a digital communications system have been disclosed. In particular, the methods are to be used with precoded Systems (i.e. pre-emphasis, Tomlinson/Harashima precoding, Laroia ISI precoding, etc.) in systems dominated by inter-symbol interference (ISI.)

The foregoing description of the exemplary embodiment of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of the above teaching. It is intended that the scope of the invention be limited not with this detailed description, but rather by the claims appended hereto.



10

15

20

WHAT IS CLAIMED IS:

1. An adaptive equalizer in a communication system having a precoder using transmitter coefficients for pre-equalizing the channel for post-cursor intersymbol interference, comprising:

a feed forward equalizer receiving a signal from a communication channel, the feed forward equalizer equalizing variations in pre-cursor intersymbol interference resulting from changes in characteristics of the channel and providing an output signal to an error correction decoder:

a decision circuit, coupled to the feed forward equalizer, for generating error vectors in response to the output signal of the feed forward equalizer; and

a decision feedback equalizer, coupled to the decision circuit, the decision feedback equalizer monitoring the pre-cursor intersymbol interference of the channel, determining when the transmitter coefficients to the precoder warrant updating, and generating a signal indicating that an update to the transmitter coefficients to the precoder is warranted.

- 2. The adaptive equalizer of claim 1 further comprising a comparison circuit, the comparison circuit receiving an output form the decision feedback equalizer and comparing the output from the decision feedback equalizer to a reference, the comparison circuit generating the signal indicating that an update to the transmitter coefficients to the precoder is warranted in response to the comparison.
- 3. The adaptive equalizer of claim 2 wherein the output of the decision feedback equalizer is combined with the output of the feed forward equalizer at a summing node.
 - 4. The adaptive equalizer of claim 3 wherein the signal to the error correction decoder is provided after the summing node.
 - 5. The adaptive equalizer of claim 4 further comprising an extended decision circuit coupled to the output of the feed forward equalizer, the extended decision circuit providing coefficients to the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.

30

10

15

20

25

30

- 6. The adaptive equalizer of claim 3 wherein the signal to the error correction decoder is provided before the summing node.
- 7. The adaptive equalizer of claim 6 further comprising an extended decision circuit coupled to the output of the feed forward equalizer, the extended decision circuit providing coefficients to the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.

8. A communication system, comprising:

a transmitter coupled to a first end of a communication channel, the transmitter transmitting data signals over the communications channel, the transmitter further comprising a precoder using transmitter coefficients for pre-equalizing the channel for post-cursor intersymbol interference; and

a receiver, coupled to a second end of the communication channel, the receiver further comprising:

a feed forward equalizer receiving a signal from a communication channel, the feed forward equalizer equalizing variations in pre-cursor intersymbol interference resulting from changes in characteristics of the channel and providing an output signal to an error correction decoder:

a decision circuit, coupled to the feed forward equalizer, for generating error vectors in response to the output signal of the feed forward equalizer; and

a decision feedback equalizer, coupled to the decision circuit, the decision feedback equalizer monitoring the pre-cursor intersymbol interference of the channel, determining when the transmitter coefficients to the precoder warrant updating, and generating a signal indicating that an update to the transmitter coefficients to the precoder is warranted.

- 9. The communication system of claim 8 further comprising a comparison circuit, the comparison circuit receiving an output form the decision feedback equalizer and comparing the output from the decision feedback equalizer to a reference, the comparison circuit generating the signal indicating that an update to the transmitter coefficients to the precoder is warranted in response to the comparison.
- 10. The communication system of claim 9 wherein the output of the decision feedback equalizer is combined with the output of the feed forward equalizer at a summing node.

- 11. The communication system of claim 10 wherein the signal to the error correction decoder is provided after the summing node.
- 5 12. The communication system of claim 11 further comprising an extended decision circuit coupled to the output of the feed forward equalizer, the extended decision circuit providing coefficients to the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.

25

30

- 13. The communication system of claim 10 wherein the signal to the error correction decoder is provided before the summing node.
- The communication system of claim 13 further comprising an 14. 15 extended decision circuit coupled to the output of the feed forward equalizer, the extended decision circuit providing coefficients to the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.
- 15. A method for performing equalization of a communication channel 20 having a transmitter with a precoded transmitter coupled thereto, the precoded transmitter using transmitter coefficients for pre-equalizing the communication signal, the method comprising the steps of:

receiving a signal from a communication channel;

equalizing variations in pre-cursor intersymbol interference in the signal resulting from changes in characteristics of the channel using a feed forward equalizer;

processing the signal with a decision feedback equalizer to remove post-cursor intersymbol interference;

monitoring the post -cursor intersymbol interference of the channel after processing of the signal by the decision feedback equalizer;

determining when the transmitter coefficients to the precoder warrant updating based upon the monitored post-cursor intersymbol interference; and

generating a signal indicating that an update to the transmitter coefficients to the precoder is warranted. 35

WO 98/39871

16. The method of claim 15 further comprising the steps of: comparing the post -cursor intersymbol interference to a reference; generating the signal indicating that an update to the transmitter coefficients to the precoder is warranted in response to the comparison.

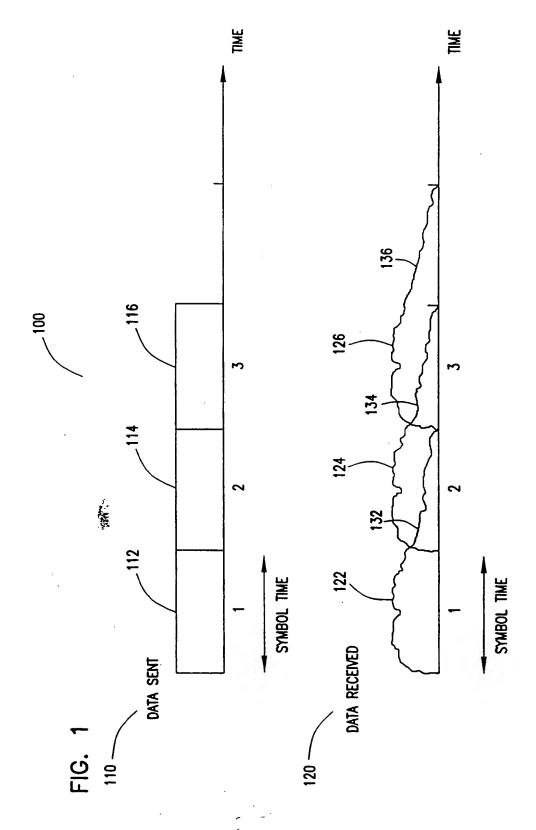
5

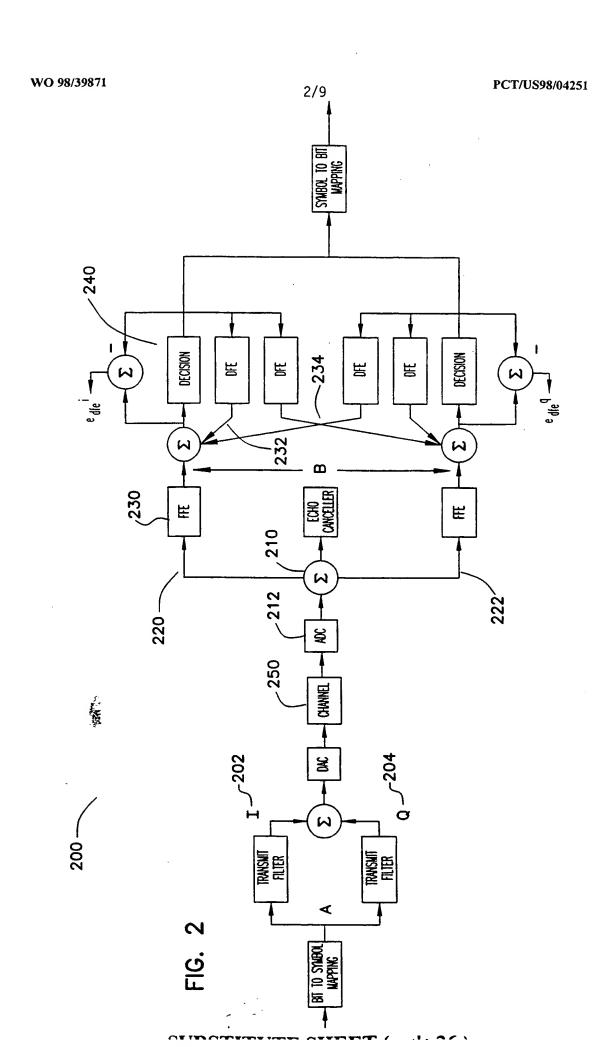
- 17. The method of claim 16 wherein an output of the decision feedback equalizer is combined with an output of the feed forward equalizer at a summing node.
- 18. The method of claim 17 wherein an error correction decoder signal is provided to an error correction decoder after the summing node.
 - 19. The method of claim 18 further comprising the step of processing the output of the feed forward equalizer to determine coefficients for the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.
 - 20. The method of claim 17 wherein an error correction decoder signal is provided to an error correction decoder before the summing node.

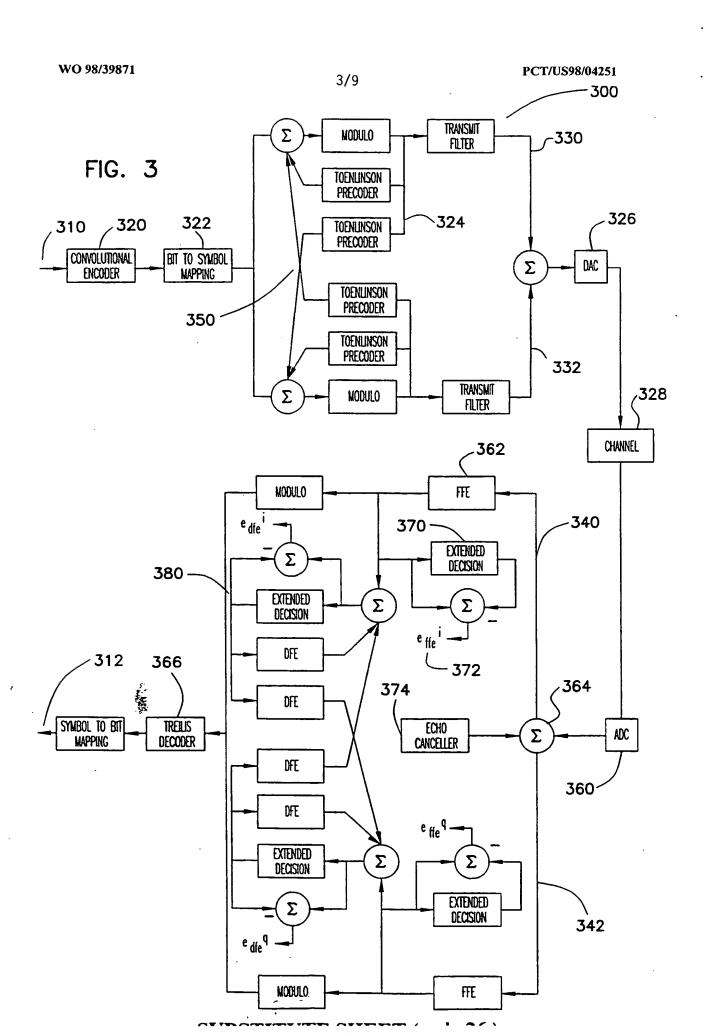
20

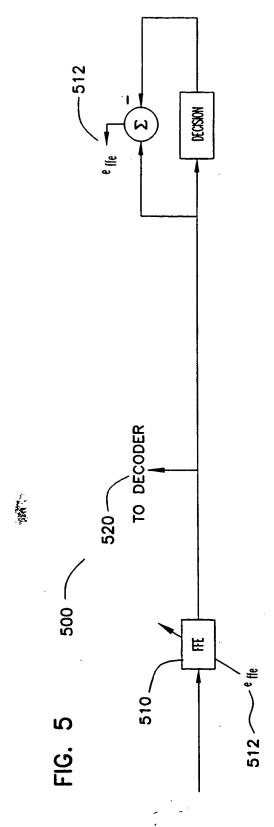
15

21. The method of claim 20 further comprising the step of processing the output of the feed forward equalizer to determine coefficients for the feed forward equalizer to enable the feed forward equalizer to eliminate substantially all of the intersymbol interference.

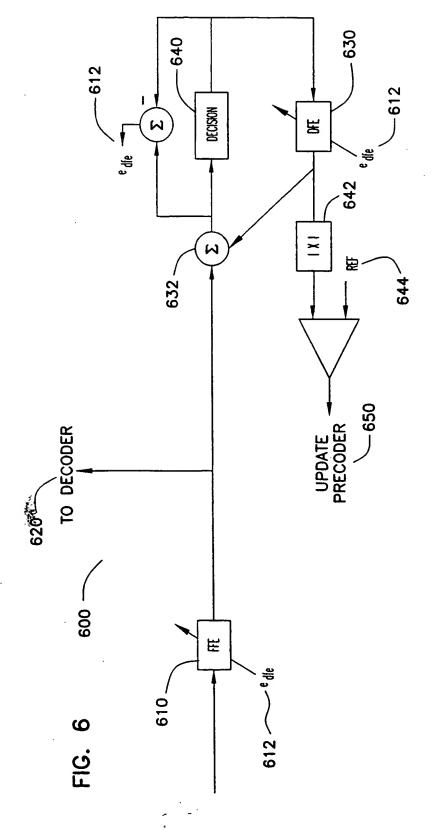


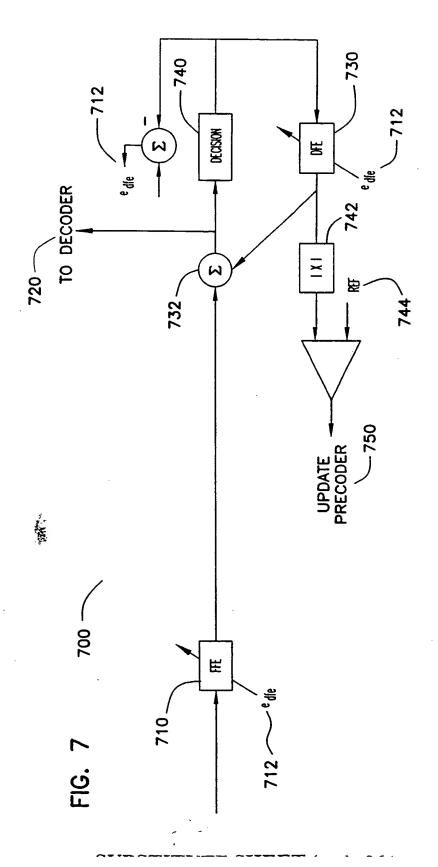


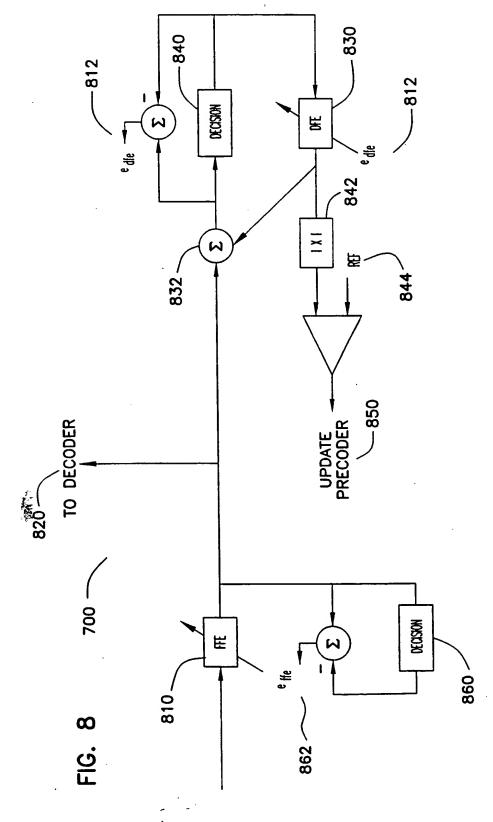


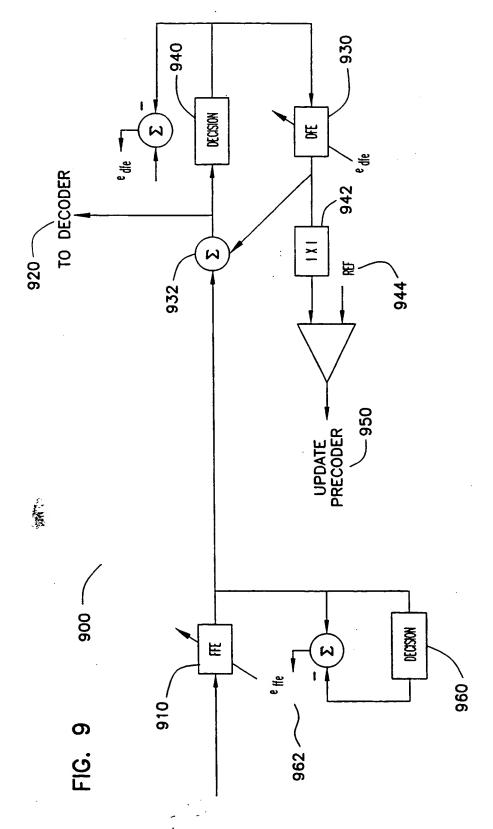


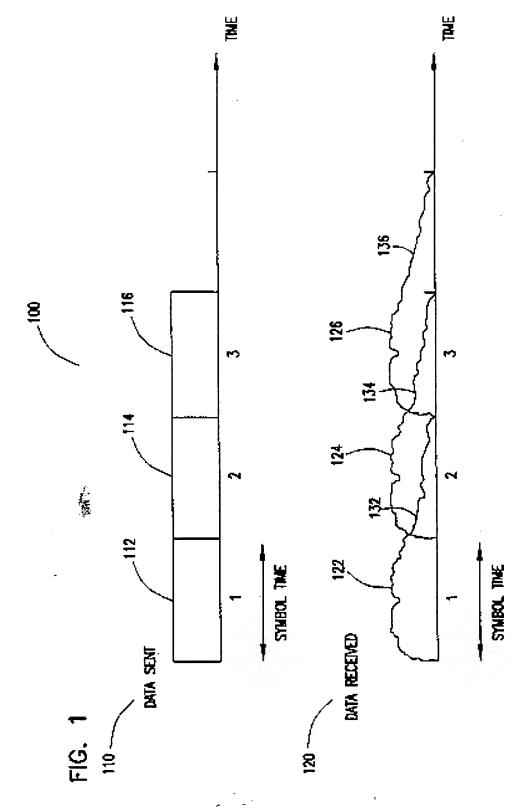
OTTOORERITIES OFFEND / 1 A/



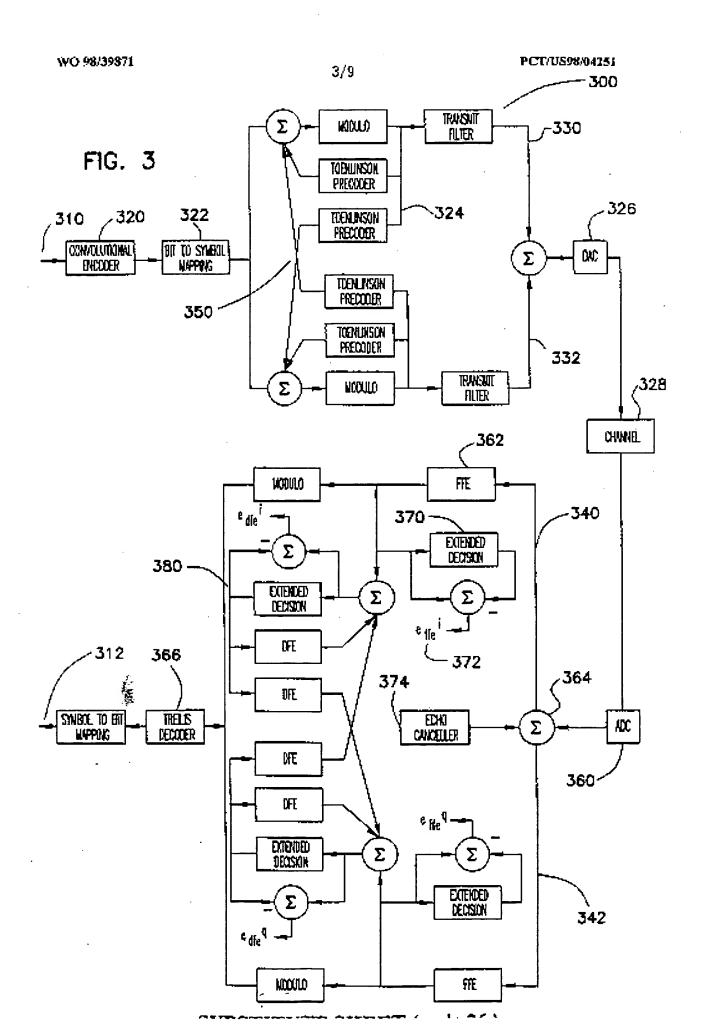


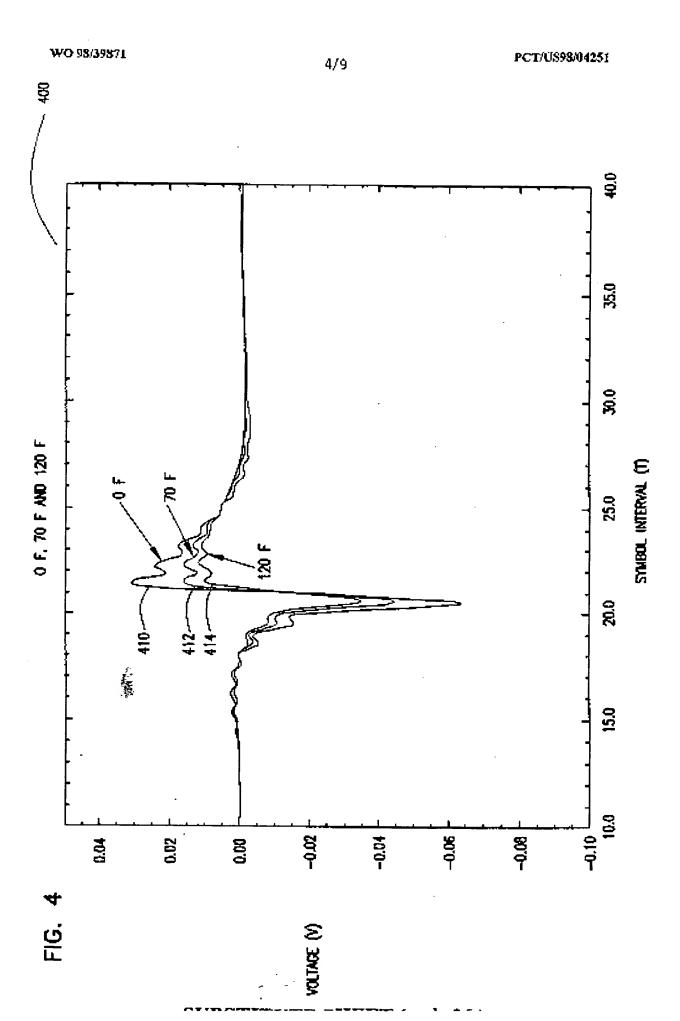


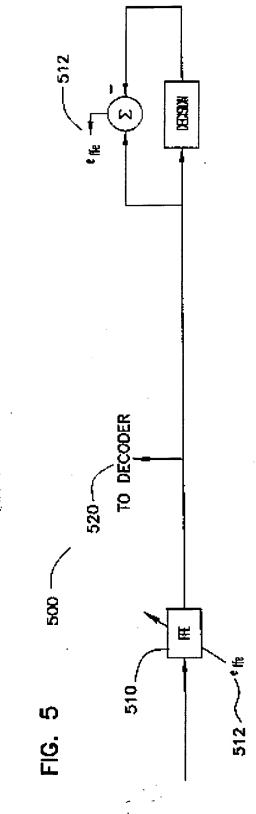


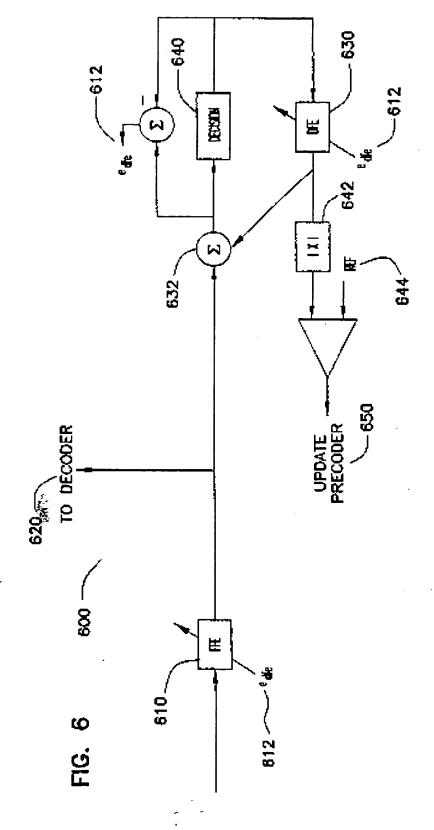


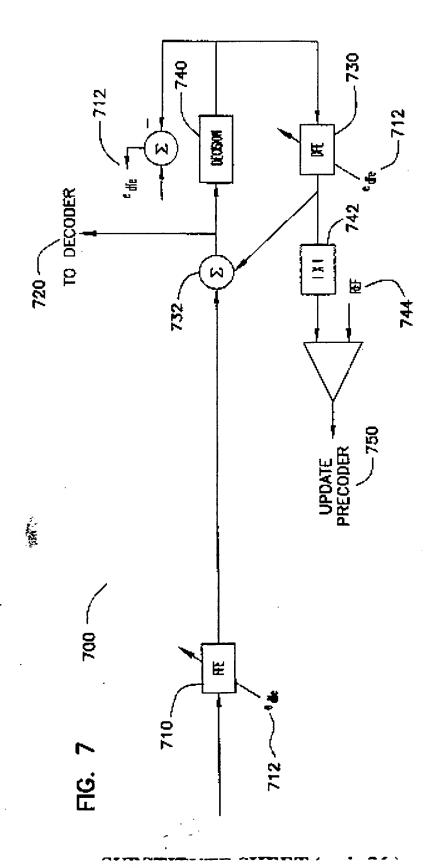
e a construction of the second of the second

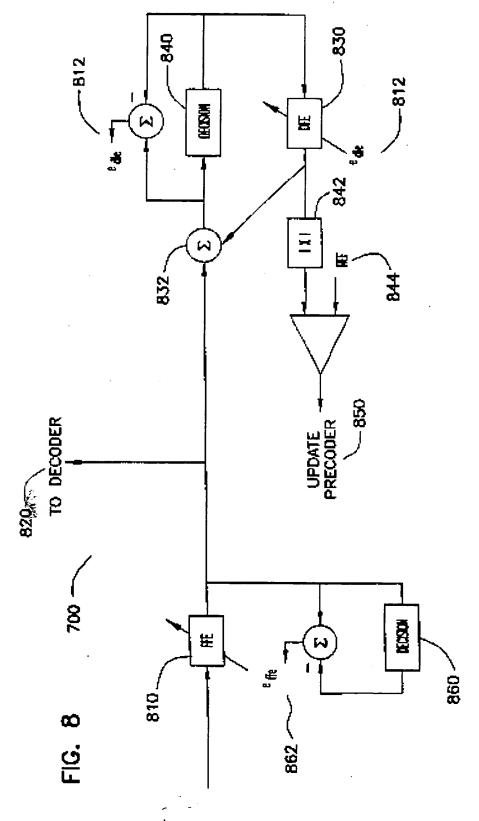


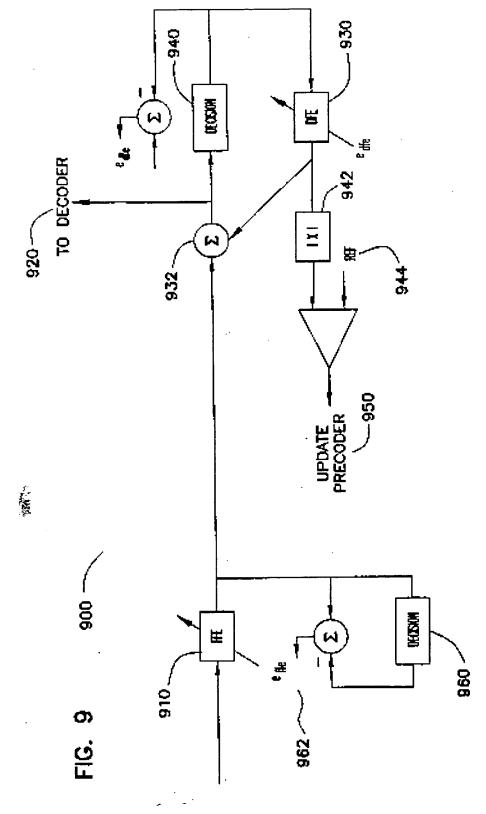












This Page Blank (uspto)

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

A3

(11) International Publication Number:

WO 98/39871

H04L 25/03

(43) International Publication Date:

11 September 1998 (11.09.98)

(21) International Application Number:

PCT/US98/04251

(22) International Filing Date:

4 March 1998 (04.03.98)

(30) Priority Data:

60/039,420 09/033,770 6 March 1997 (06.03.97) US

3 March 1998 (03.03.98)

US

(71) Applicant: LEVEL ONE COMMUNICATIONS, INC. [US/US]; 9750 Goethe Road, Sacramento, CA 95827 (US).

- (72) Inventors: LING, Stanley, K.; 1040 Fulton Avenue #6, Sacramento, CA 95825 (US). AN, Ping; 7932 La Riviera Drive #197, Sacramento, CA 95826 (US). TAKATORI, Hiroshi; 932 South Beach Drive, Sacramento, CA 95831 (US).
- (74) Agent: SHARP, Janice, A.; Merchant, Gould, Smith, Edell, Welter & Schmidt, Suite 400, 11150 Santa Monica Boulevard, Los Angeles, CA 90025 (US).

(81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

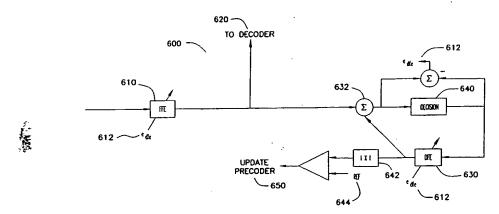
Published

With international search report.

(88) Date of publication of the international search report: 10 December 1998 (10.12.98)

10 December 1998 (10.12.98)

(54) Title: ADAPTATION OF PRE-EQUALISERS



(57) Abstract

Adaptive equalization methods used with precoded systems dominated by inter-symbol interference (ISI) is disclosed. The adaptive equalizers monitor the output of a DFE and compare it to a reference for updating a pre-coder in response to the comparison. To accomplish this, the adaptive equalizer includes a feed forward equalizer receiving a signal from a communication channel, the feed forward equalizer equalizing variations in pre-cursor intersymbol interference resulting from changes in characteristics of the channel and providing an output signal to an error correction decoder, a decision circuit, coupled to the feed forward equalizer, for generating error vectors in response to the output signal of the feed forward equalizer and a decision feedback equalizer, coupled to the decision circuit, the decision feedback equalizer monitoring the pre-cursor intersymbol interference of the channel, determining when the transmitter coefficients to the pre-coder warrant updating, and generating a signal indicating that an update to the transmitter coefficients to the pre-coder is warranted. The adaptive equalizer further includes a comparison circuit, the comparison circuit receiving an output from the decision feedback equalizer and comparing the output from the decision feedback equalizer to a reference, the comparison circuit generating the signal indicating that an update to the transmitter coefficients to the pre-coder is warranted in response to the comparison.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

	er T	outob pu	ity to the LOT on the in	om pages o	· panipinoto paonomis		
AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	ŢJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	ΙE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JР	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
СН	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KР	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	u	Liechtenstein	SD	Sudan		
ÐΚ	Denmark	LK	Sri Lanka	SE	Sweden		
FF	Estonia	I.R	Liberia	SG	Singapore		

INTERNATIONAL SEARCH REPORT

Inter: Inal Application No PCT/US 98/04251

A.	CL	ASSI	FICATION	OF	SUBJE	CT	MAT	TER
TF	7	6	FICATION HQ41	25	///			

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) $IPC \ 6 \ H04L$

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.			
X	EP 0 707 401 A (AT&T) 17 April 1996 see column 2, line 33 - column 3, line 11 see column 4, line 15 - line 36 see column 10, line 34 - line 43 see column 11, line 24 - line 32 see column 11, line 43 - line 50	1,8,15			
A	MASOOMZADEH-FARD, PASUPATHY: "Combined equalization and differential detection using precoding" 1996 IEEE VEHICULAR TECHNOLOGY CONFERENCE, 28 April 1996 - 1 May 1996, pages 76-80, XP000594281 New York, US see page 77, left-hand column, paragraph 1	1,8,15			

X Further documents are listed in the continuation of box C.	χ Patent family members are listed in annex.
*Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	 "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of theinternational search	Date of mailing of the international search report
10 September 1998	22/09/1998
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk	Authorized officer
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Scriven, P

INTERNATIONAL SEARCH REPORT

Intern unal Application No PCT/US 98/04251

(Continue	ition) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/US 98/04251			
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Delegant to atsign No.			
		Relevant to claim No.			
	EP 0 549 019 A (PHILIPS) 30 June 1993	1,8,15			
ŀ	see page 2, line 42 - line 49	1,0,15			
	see page 3, line 16 - line 32				
, P	MEEHAN, FAGAN: "Precoding over a dynamic	1.0.15			
'	continuous wave digital mobile channel"	1,8,15			
l	199/ IEEE INTERNATIONAL SYMPOSIUM ON				
İ	PERSONAL, INDOOR AND MOBILE RADIO				
ĺ	COMMUNICATIONS, 1 - 4 September 1997,				
	pages 1140-1144, XP002077158 New York, US				
	see page 1144, left-hand column, paragraph				
ŀ	3 - right-hand column, paragraph 1				
İ					
	•				
1					
1		ļ			
		ļ			
		·			
	•				
	£.	†			
1]			
ł					
	·				
	•				
		'			
		1			
	•				
1		•			

INTERNATIONAL SEARCH REPORT

information on patent family members

Inter. onal Application No PCT/US 98/04251

Patent document cited in search report		Publication date		atent family member(s)	Publication date
EP 0707401	Α .	17-04-1996	US CA CN JP	5604769 A 2158185 A 1123978 A 8274724 A	18-02-1997 14-04-1996 05-06-1996 18-10-1996
EP 0549019	Α	30-06-1993	JP US	5327558 A 5353310 A	10-12-1993 04-10-1994



This Page Blank (uspio)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ GRAY SCALE DOCUMENTS
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

This Page Blank (uspto)